# Efficacy and safety of hyperbaric oxygen treatment in SARS-COV-2 (COVID-19) pneumonia: a systematic review

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#### Key words

Hyperbaric medicine; Hypoxia; Infection

#### Abstract

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**Introduction:** The need for intubation and mechanical ventilation among COVID-19 patients is associated with high mortality rates and places a substantial burden on the healthcare system. There is a strong pathophysiological rationale suggesting that hyperbaric oxygen treatment (HBOT), a low-risk and non-invasive treatment, may be beneficial for COVID-19 patients. This systematic review aimed to explore the potential effectiveness and safety of HBOT for treating patients with COVID-19. **Methods:** Medline, Embase, Scopus, and Google Scholar were searched from December 2019 to February 2021, without language restrictions. The grey literature was searched via an internet search engine and targeted website and database searches. Reference lists of included studies were searched. Independent reviewers assessed studies for eligibility and extracted data, with disagreements resolved by consensus or a third reviewer. Risk of bias was assessed using the Newcastle Ottawa Scale. Data were summarised descriptively.

**Results:** Six publications (one cohort study, five case reports/series) met the inclusion criteria with a total of 37 hypoxaemic COVID-19 patients treated with HBOT. Of these 37 patients, the need for intubation and mechanical ventilation and in-hospital survival were assessed for 26 patients across three studies. Of these 26 patients, intubation and mechanical ventilation were not required for 24, and 23 patients survived. No serious adverse events of HBOT in COVID-19 patients were reported. No randomised trials have been published.

**Conclusions:** Limited and weak evidence from non-randomised studies including one propensity-matched cohort study suggests HBOT is safe and may be a promising intervention to optimise treatment and outcomes in hypoxaemic COVID-19 patients. Randomised controlled studies are urgently needed.

#### Introduction

The current SARS-CoV-2 (COVID-19) viral pandemic has infected over 143 million individuals, with over 3.1 million deaths worldwide as of April 21, 2021.<sup>1</sup> Approximately 15 to 20% of patients present with hypoxaemic respiratory failure requiring oxygen supplementation.<sup>2</sup> Although outcomes may vary depending on factors such as age, comorbidities and initial oxygen requirements,<sup>3,4</sup> overall one in five of these patients die in hospital.<sup>5–7</sup> Among hospitalised hypoxaemic COVID-19 patients, one in four require intensive care (ICU) admission and among these, 60% require intubation and

30% die in-hospital.<sup>3,5,8,9</sup> Mechanical ventilation and ICU admission are limited resources, placing a substantial burden on the healthcare system.

As the COVID-19 pandemic continues to evolve, there remains a need for a low risk and non-invasive intervention that can both prevent the adverse progression of moderate cases and improve survival in severe cases. Hyperbaric oxygen treatment (HBOT) is one potential solution. HBOT is defined as breathing 100% oxygen at a pressure > 142 kPa (1.4 atmospheres absolute [atm abs]).<sup>10</sup> HBOT is a well-established and safe<sup>11</sup> method to increase tissue

oxygen delivery up to 10–20 fold at 203 to 304 kPa (2–3 atm abs) pressure.<sup>12</sup> HBOT is currently approved by the US Food and Drug Administration and Health Canada for 14 indications for both elective (e.g., late radiation tissue injury, non-healing chronic wounds) and urgent conditions (e.g., carbon monoxide poisoning, decompression sickness, gas embolism).<sup>10</sup>

The clinical use of HBOT for patients with severe COVID-19 is supported by physiological and preclinical rationales.<sup>13</sup> First, hyper-oxygenation of arterial blood with oxygen dissolved in plasma corrects tissue oxygen debt. Second, HBOT has a strong anti-inflammatory effect.<sup>14,15</sup> Indeed, preclinical and clinical studies show that HBOT has a strong immunomodulatory effect regulating the inflammatory response through several pathways.<sup>14,15</sup> HBOT stimulates both the humoral and cellular immune response, resulting in decreasing pro-inflammatory cytokines while increasing anti-inflammatory cytokines.<sup>15</sup> Intervening early to limit the increase of plasma IL-6 may be beneficial since elevated levels of IL-6 are independently associated with mortality for COVID-19 patients.<sup>16</sup> Furthermore, intermittent hyperoxia (i.e., HBOT) promotes stem cell mobilization and cytokine expression.<sup>17</sup> Stem cells represent another pathway through which HBOT may have positive effects on COVID-19 patients. Mesenchymal stem cells (MSCs) are known to have strong anti-inflammatory and immunomodulatory properties.<sup>18</sup> Therefore, MSCs may contribute to preventing overreaction of the immune system, referred to as the cytokine storm, by limiting pro-inflammatory cytokines and

increasing anti-inflammatory cytokines.<sup>19</sup> Finally, HBOT may have a direct viricidal effect on SARS-CoV-2 similar to the direct viricidal action that has been demonstrated in preclinical research in other enveloped viruses.<sup>20</sup>

Despite the potential for HBOT to reduce the rate of invasive mechanical ventilation and possibly mortality for COVID-19 patients, its effectiveness and safety has yet to be quantified. As the number of new COVID-19 cases continues to rise,<sup>1</sup> a systematic review of the efficacy and safety of HBOT is urgently needed. Results will inform COVID-19 research and practice in order to optimise recovery for patients and reduce the burden of the pandemic on the healthcare system as quickly as possible.

We aimed to systematically summarise the existing literature on the clinical effect of HBOT for COVID-19 patients to inform future clinical trials and practice decisions.

#### Methods

## PROTOCOL

This review was planned and conducted according to the Cochrane Handbook for Systematic Reviews of Interventions and reported in adherence to the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) checklist.<sup>21</sup> The protocol was registered with the International Prospective Register of Systematic Reviews (PROSPERO, CRD 42020209933).

**Box 1** Study eligibility criteria

**Population:** Patients of any age, who were diagnosed with COVID-19 (either positive, confirmed with clinical or biological tests, or suspected). A sensitivity analysis was conducted to measure the effect of HBOT on COVID-19 patients for whom there was diagnostic proof by reverse transcriptase polymerase chain reaction.

**Intervention:** Hyperbaric oxygen treatment (HBOT) for clinical use is defined as breathing 100% oxygen at a pressure > 142 kPa (1.4 atmospheres absolute).<sup>6</sup> HBOT was administered with the intention of treating COVID-19.

Control: Usual treatment or no treatment/comparator (for case series, case reports, or cohorts).

**Outcome:** At least one clinical outcome (e.g., mortality; need for intubation and mechanical ventilation).

Design: Randomised or non-randomised trial, case control, cross-sectional, case series, case reports.

Language of publication: Any language.

Date of publication: Since December 2019, when the first human case of COVID-19 was reported.

## ELIGIBILITY CRITERIA

Eligibility criteria were pre-specified as described in Box 1.

Articles were included if they involved patients of any age undergoing at least one HBOT session with the intention of treating patients with confirmed positive or suspected COVID-19. Studies involving patients who received HBOT for other purposes while also having confirmed or suspected COVID-19 were not included. To be eligible for inclusion, studies had to assess at least one clinical outcome measured at any time point after HBOT was initiated. Of primary interest were the clinical outcomes of mortality and the need for intubation and mechanical ventilation. In addition to clinical outcomes, studies could also assess biological outcomes (e.g., inflammation markers), imaging outcomes (e.g., chest computed tomography [CT]), cost outcomes (e.g., length of stay), and safety outcomes (any adverse events related to HBOT).

In the context of a new disease leading to a pandemic with no definitive cure, we elected to include all types of study designs, such as randomised and non-randomised trials, case-control studies, cross-sectional studies, case series and case reports. Both peer-reviewed studies and pre-prints were eligible for inclusion. We did not impose language restrictions. We excluded editorials and conference abstracts.

## SEARCH STRATEGY AND INFORMATION SOURCES

The search strategy was developed by an experienced information specialist (LS) in close collaboration with the research team (Appendix 1\*). It was then reviewed by a second information specialist, following the Peer Review of Electronic Search Strategies (PRESS) guidelines.<sup>22</sup> The databases MEDLINE via Ovid, EMBASE via Ovid, Scopus, and Google Scholar were searched without language restrictions from 01 December 2019 to 04 February 2021. References not published in English or French were translated using DeepL Translator (DeepL GmbH, Cologne, Germany). The reference lists of included studies were also searched in addition to related reviews. Our systematic grey literature (i.e., difficult to locate/unpublished) search strategy was developed by the co-author team and consisted of three parts: internet search engine (using anonymous browser to avoid geographical bias); targeted website searching of hyperbaric medicine organisations (e.g., Undersea and Hyberbaric Medical Society, International Association of Francophone Hyperbaric Centres); and targeted grey literature database searching (e.g., World Health Organization, Centres for Disease Control and Prevention, clinicaltrials.gov, medRxiv, bioRxiv, LitCovid, COVID-END). We also consulted content experts and the authors' personal files to ensure literature saturation.

## STUDY SELECTION AND DATA EXTRACTION

Following removal of duplicates and a pilot test of a screening form, identified studies were screened using DistillerSR (Evidence Partners, Ottawa, Canada), a systematic review software. Two independent reviewers (RK, GD) assessed titles and abstracts for eligibility. The full-text of articles of included studies and those deemed 'unclear' were subsequently screened. Screening for inclusion at each level was always conducted in duplicate, with disagreements resolved by consensus or involvement of a third reviewer as needed (SB).

A data extraction form was developed and used by the two independent reviewers (RK, GD) to extract relevant information with Microsoft Excel. Extracted data included publication details (e.g., first author name, year of publication, country of data collection, funding, trial registration), study characteristics (e.g., study design, sample size, inclusion/exclusion criteria), patient demographics, intervention and comparator details, the type of hyperbaric chamber, and the effect of intervention on reported clinical outcomes. Discrepancies in extraction were resolved by a third reviewer (SB).

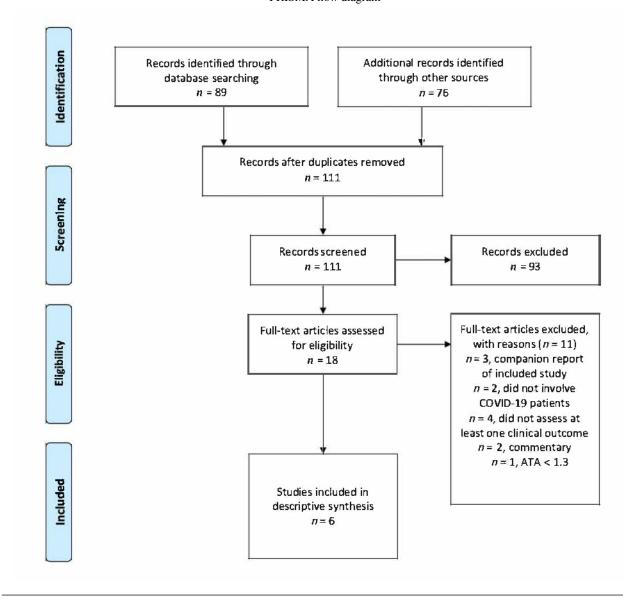
## RISK OF BIAS

An estimate of the risk of bias was not performed for case series data given the inherent limitations and high possibility of bias for these types of studies. For included comparative cohort studies, two independent reviewers (RK, GD) assessed risk of bias using the Newcastle Ottawa Scale.23 The Newcastle Ottawa Scale can be used to assess case and cohort studies across three domains: selection, comparability, and outcome/exposure. Each domain is comprised of a series of questions and stars are given according to each item. A maximum of four stars can be given in the Selection and Outcome/exposure domains and a maximum of two stars can be given in the Comparability domain. The star system can then be converted to the Agency for Health Research and Quality standards of good, fair, and poor quality. Good quality is assigned to studies with at least three stars in the Selection domain, one star in the Comparability domain and two stars in the Outcome/exposure domain. Fair quality is assigned to studies with two stars in the Selection domain, at least one star in the Comparability domain and at least two stars in the Outcome/exposure domain. Poor quality is assigned to studies with zero or one stars across the domains. A pilot test was conducted with one article prior to risk of bias assessment.

## ASSESSMENT OF THE CERTAINTY OF EVIDENCE

The certainty of the evidence for included comparative studies was assessed using the GRADE approach,

Figure 1 PRISMA flow diagram



which considers five domains: risk of bias, indirectness, inconsistency, imprecision and publication bias.<sup>24</sup> Based on the grading of these domains, the certainty of the evidence was rated as high, moderate, low or very low.

#### DATA SYNTHESIS

A meta-analysis was planned for our pre-specified primary and secondary outcomes but was not conducted based on the heterogeneity of the included studies. Therefore we provide a descriptive synthesis of the current literature.

## Results

The literature search yielded 165 studies. After removal of duplicates, 111 studies were assessed for eligibility (Figure 1). The majority of these studies either did not

involve the use of HBOT to treat COVID-19 patients, did not assess at least one clinical patient outcome, or were not an original study or case report (e.g., commentaries, position statements). Subsequently, six studies met the inclusion criteria and were included in this systematic review.

## STUDY AND PATIENT CHARACTERISTICS

Details of included study and patient characteristics are provided in Table 1. Three studies were case series<sup>25–27</sup> and two studies involved case reports of one<sup>28</sup> or two<sup>29</sup> patients. One study was a cohort design with propensity-matched controls, where 20 COVID-19 patients were treated with HBOT and compared to 60 similar patients (matched based on age, sex, body mass index, coronary artery disease, troponin, D-dimer, hospital day, and oxygen requirement) from the same hospital who received usual care (no HBOT).<sup>30</sup>

Table 1

Study characteristics; all studies were single centre. ARDS – acute respiratory distress syndrome; NR – not reported; P/F ratio –  $PaO_2$  is the arterial oxygen partial pressure and FiO is the fraction of inhaled oxygen): SnO – nerinheral oxygen saturation

## 276

## Table 2

Patient demographic characteristics; #60 controls and 20 patients treated with HBOT; COPD – chronic obstructive pulmonary disease; HBOT – hyperbaric oxygen treatment; NR – not reported

Study	п	Female <i>n</i>	Age (years)	Ethnicity	Comorbidities
Chen <sup>25</sup>	5	1	Mean 47 Range 24–69	Chinese: 5	Hypertension: 1 Cardiovascular disease: 1
Gorenstein <sup>30</sup>	80#	7	HBOT: Median 58 Range 30–79 Control: Median 62 Range 24–80	White: 23 Black: 13 Asian: 7 Other: 37	Hypertension: 40 (50%) Diabetes: 24 (30%) Cardiovascular disease: 8 (10%) COPD: 4 (5%)
Guo <sup>29</sup>	2	0	57 and 64	Chinese: 2	Hypertension: 1 Diabetes: 1 Cardiovascular disease: 1
Qian <sup>26</sup>	4	0	Range 56–67	Chinese: 4	NR
Thibodeaux <sup>27</sup>	5	4	Median 48 Range 39–63	White: 2 Black: 3	Obese: 4 Hypertension: 4 Diabetes: 3
Zhong <sup>28</sup>	1	0	87	Chinese	Cardiovascular disease COPD

Across the six included studies, 37 participants were treated with HBOT for COVID-19. All the patients treated with HBOT were hypoxaemic in room air and required (normobaric) oxygen supplementation. The COVID-19 cases treated by HBOT were from the United States of America (two publications, 25 patients) and China (four publications, 12 patients).

All patients treated with HBOT were adults (ranging from 24 to 87 years old) and 12 patients were female (32%) (Table 2). Only one study included a control group with no HBOT, and was a propensity matched design.<sup>30</sup> Only one case was intubated and mechanically ventilated while being treated with HBOT.<sup>28</sup>

HBOT ranged from one to seven sessions, each session lasting between 60 minutes<sup>29</sup> and 100 minutes<sup>28</sup> at a pressure between  $152^{26,29}$  to 203 kPa<sup>25,27,30</sup> (1.5–2.0 atm abs).

## EFFECTIVENESS OF HBOT FOR COVID-19

The seven publications included clinical, biological and imaging outcomes. Cost outcomes were not reported by any of the studies. Clinical outcomes were the most frequently reported (six studies, 37 patients), followed by biological (5 studies, 17 patients), and imaging outcomes (4 studies, 11 patients). Detailed outcomes of included studies are provided in Table 3 and Table 4. Improvements in clinical (e.g., survival), biological (e.g., lymphocyte count, renal function) and imaging (e.g., chest CT) outcomes were observed for the majority of the 37 hypoxaemic COVID-19 patients across all studies (Table 3). Of the 37 included hypoxaemic COVID-19 patients treated with HBOT, the need for mechanical ventilation and inhospital survival was reported for 26 patients across three studies (Table 4). Of these 26 patients, 24 did not require mechanical ventilation and 23 survived. Improvements in oxygen saturation (17 patients, 5 studies), respiratory rate (7 patients, 2 studies), walking distance (4 patients, 1 study), and shortness of breath (6 patients, 2 studies) were also observed (Table 4).

### RISK OF BIAS AND GRADE ASSESSMENT

There was only one included comparative study for which risk of bias assessment and GRADE assessment could be conducted.<sup>30</sup> This study was deemed to be of good quality with moderate certainty in the evidence (Table 5).

#### Discussion

This systematic review found six studies reporting on 37 hypoxaemic COVID-19 patients who were treated with HBOT. Available data from the included cohort and case studies suggest that the use of HBOT to treat COVID-19 patients may be promising and urgently requires randomised controlled trials (RCTs). These early data show that HBOT may be useful for preventing deterioration requiring intubation and mechanical ventilation in COVID-19 patients. However, since many admitted hypoxaemic COVID-19 patients will not require intubation and will survive, studies with comparative data are paramount. In addition, no serious adverse events were reported by any of the included studies. However, all studies were of poor quality and the certainty of the evidence was low or very low, with the exception

Effect of HBOT on COVID-19 patient outcomes; \*results that were reported as significant at P < 0.05; \*60 controls and 20 patients treated with HBOT; bpm – breaths per minute; HCO<sub>3</sub><sup>-</sup> – bicarbonate; HBOT – hyperbaric oxygen treatment; NR – not reported; P/F ratio – PaO<sub>2</sub>/FiO<sub>2</sub>, where PaO<sub>2</sub> is the arterial oxygen partial pressure and FiO<sub>2</sub> is the fraction of inhaled oxygen); SaO<sub>2</sub> – arterial oxygen partial oxygen partial pressure and FiO<sub>2</sub> is the fraction of inhaled oxygen); SaO<sub>2</sub> – arterial oxygen saturation. SnO<sub>2</sub> – berinheral oxygen saturation Table 3

	Safety outcomes	NR	Claustrophobia, ear pain $(n = NR)$ Hypoxic arrest in unclear circumstances after transferring to the floor $(n = 1)$	No adverse effects
	Imaging outcomes	CT improved (qualitatively)	N/A	CT pulmonary inflammation gradually improved
ipneral oxygen saturation	Biological outcomes Data are mean (SD)	PaO <sub>2</sub> and SaO <sub>2</sub> increased* Lymphocyte count increased: 0.61(0.35) to 1.09 (0.24) x10 <sup>9</sup> ·L <sup>-1</sup> * C-reactive protein levels decreased: data NR D-dimer decreased: data NR* Fibrinogen decreased: data NR*	N/A	D-dimers reduced Lymphocyte counts improved PaO <sub>2</sub> , P/F ratio, HCO <sub>3</sub> <sup>-</sup> , lactate improved Liver function (cholinesterase) improved Data NR for any outcomes
oxygen saturation; SPO <sub>2</sub> – peripheral oxygen saturation	Clinical outcomes Data are mean (SD)	<b>SpO<sub>2</sub> improved:</b> 73 (6) to 94 (2)%*	Inpatient mortality: HBOT: two (10%) died, none remained hospitalised at end study. Controls: 13 (22%) died, three (5%) remained hospitalised at end study. Mechanical ventilation: HBOT: 2 (10%) Controls: 18 (30%) Adjusted hazard ratios: Inpatient mortality = 0.37 ( $P = 0.14$ ); Mechanical ventilation = 0.26*	Dyspnoea eliminated immediately after the first HBOT session Respiratory rate decreased daily; no need for mechanical ventilation SpO <sub>2</sub> > 93% after the first session and continued to improve
	Timing of outcome measurement Assessed before and after course of HBOT (average of five sessions		Assessed at end of study (patients received up to five daily treatments as long as supplemental oxygen still required)	Assessed over 7-day course of HBOT
	u	S.	80*	5
	Study	Chen <sup>25</sup>	Gorenstein <sup>30</sup>	Guo <sup>29</sup>

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No adverse effects	NR	NR
N/A	CT resolution of inflammation to different degrees	N/A
Inflammatory markers decreased (reported for 1 patient, not reported for 4 patients)	Blood gas analysis indexes improved	CO <sub>2</sub> reduced Coagulation normalised Kidney function improved
SpO <sub>2</sub> improved: 96 (3) to 96 (1)% All patients recovered without need for mechanical ventilation Respiratory rate decreased: 35.4 (8.5) to 28 (7.6) bpm	<b>SpO<sub>2</sub> improved:</b> 86 (5) to 92 (4)%* <b>Six-minute walk distance improved:</b> 272 (62) to 346 (43) m* Dyspnoea improved	Oxygenation improved Patient was eventually extubated
Assessed before and after course of HBOT (average of 5 treatments per patient [range: 1-6])	Assessed before and one day after 7-day course of HBOT	Assessed before and after course of HBOT (4 sessions)
Ś	4	
Thibodeaux <sup>27</sup>	Qian <sup>26</sup>	$Zhong^{28}$

of the one comparative study.<sup>30</sup> While a few RCTs were registered, none were published.

Although conclusions are limited by study quality and risk of bias, the positive clinical outcomes observed by the included studies are supported by concordant biological and radiological data. Findings of effectiveness across multiple outcome categories are also supported by a compelling physiological rationale. While HBOT was first considered for treating hypoxaemic COVID-19 patients to quickly correct their tissue hypoxia, more evidence suggests that the immunomodulatory effect of HBOT may also be relevant.14,15 COVID-19 is increasingly considered as an endothelial disease,<sup>31,32</sup> which provides a unifying pathophysiological picture. This endothelial dysfunction explains both the range of symptoms experienced by COVID-19 patients (e.g., thrombotic events, neurologic manifestations), and why patients with pre-existing impaired endothelial function (e.g., age, diabetes, cardiovascular disease) are also more at risk for severe COVID-19. Evidence suggests that endothelial cells contribute to the initiation and propagation of pneumonia by altering vessel barrier integrity, promoting a pro-coagulative state, and inducing vascular inflammation.<sup>33</sup> The anti-inflammatory effect of HBOT may counteract the inflammation of the endothelium caused by COVID-19 and prevent a cytokine storm leading to multi-organ dysfunction and death. Accordingly, positive findings for both safety and effectiveness across each of the included studies conducted in diverse locations, combined with the pathophysiological mechanisms, suggest an urgent need for rigorous RCTs to fully assess the effectiveness of HBOT for hypoxaemic patients.

There are currently eight registered RCTs that aim to test the effectiveness of HBOT as a treatment for COVID-19. Of these, two are completed (results not yet available),<sup>34,35</sup> two are recruiting,<sup>36,37</sup> and four are not yet recruiting.<sup>38-41</sup> This suggests the need for a living systematic review to continue to inform practice throughout the pandemic. Our present review represents an initial step toward this end. One of the strengths of our systematic review is its early summary of the current evidence for a safe non-invasive therapy that could potentially improve mortality and morbidity in severe COVID-19 patients. Given the pandemic context, patients and clinicians need to access current evidence with no delay. Our study may be helpful to clinicians, decision makers and patients to make an evidence-based decision when contemplating use of HBOT for hypoxaemic COVID-19 patients. Although the studies included in our review were mostly case series, this does not negate the potential of these studies to promote a broader understanding of COVID-19 treatments and outcomes. It may also assist clinicians in making a decision regarding the compassionate use of HBOT in the context of COVID-19 until results from RCTs are available.

It should be acknowledged that there may be more evidence that was not captured in this review. This may be due to the In-hospital survival Oxygen saturation

Respiratory rate

Walking distance

Shortness of breath

Chinear outcome summary of 37 COVID-19 patients dealed with hyperbane of				
Outcome	Patients assessed (n)	Patients improved (n)		
Avoided mechanical ventilation	26	24		

26

17

7

4

6

 Table 4

 Clinical outcome summary of 37 COVID-19 patients treated with hyperbaric oxygen

#### Table 5

Risk of bias assessment using the Newcastle-Ottawa Scale. A maximum of 4 stars can be given in the Selection and Outcome/exposure domains and a maximum 2 stars can be given in the Comparability domain

	Newcastle Ottawa scale for risk of bias assessment				GRADE assessment
	Selection	Comparability	Outcome/	Overall quality	Certainty of evidence
			exposure	assessment	
Gorenstein <sup>30</sup>	****	**	***	Good	Moderate

fast-moving nature of the pandemic, the delay of the peerreview system, indexation of publications in databases, and the possible publication of cases in non-peer-reviewed media. Only one study was of good quality with a moderate level of certainty in the evidence, and that study reported mortality and mechanical ventilation outcomes.

Finally, no cost data were reported in any of the included studies. However, if HBOT can prevent intubation, mechanical ventilation, and intensive care admission for hypoxaemic COVID-19 patients, then it is likely to be cost-effective. If the positive outcomes identified by our systematic review are confirmed by RCTs, the prompt use of mobile or portable chambers may be part of the solution to fight the current pandemic and avoid overwhelming the limited critical care resource at hospitals.

#### Conclusions

Limited and weak evidence suggests that HBOT may be a safe and promising intervention to improve COVID-19, including prevention of intubation and death in hypoxaemic COVID-19 patients. Studies were mostly of poor quality and the certainty of the evidence was low or very low. This systematic review supports the urgent need for a large-scale clinical trial to provide a rigorous level of evidence that could guide practice during the COVID-19 pandemic.

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